

## Original Research Article

Polymorphic *Alu* Insertions in Six Brazilian African-Derived PopulationsNELSON HENDERSON COTRIM,<sup>1</sup> MARIA TERESA B.M. AURICCHIO,<sup>1</sup> JOÃO PEDRO VICENTE,<sup>2</sup> PAULO A. OTTO,<sup>1</sup> AND REGINA CÉLIA MINGRONI-NETTO<sup>1\*</sup><sup>1</sup>*Centro de Estudos do Genoma Humano, Departamento de Biologia, Instituto de Biociências, Universidade de São Paulo, São Paulo, Brazil*<sup>2</sup>*Departamento de Pediatria, Hospital das Clínicas, Faculdade de Medicina, Universidade de São Paulo, São Paulo, Brazil*

**ABSTRACT** At least 25 African-derived populations (*quilombo* remnants) are believed to exist in the Ribeira River Valley, located in the southern part of São Paulo State, Brazil. We studied four *Alu* polymorphic loci (APO, ACE, TPA25, and FXIIIIB) in individuals belonging to six *quilombo* remnants in addition to individuals sampled from the city of São Paulo. The allelic frequencies observed in the *quilombo* remnants were similar to those previously observed in African-derived populations from Central and North America. Genetic variability indexes ( $F_{st}$  and  $G_{st}$  values) in our *quilombos* were higher than the reported values for the majority of other populations analyzed for the same kind of markers, but lower than the variability usually observed in Amerindian groups. The observed high degree of genetic differentiation may be due to genetic drift, especially the founder effect. Our results suggest that these populations behave genetically as semi-isolates. The degree of genetic variability within populations was larger than among them, a finding described in other studies. In the neighbor-joining tree, some of the Brazilian *quilombos* clustered with the African and African-derived populations (São Pedro and Galvão), others with the Europeans (Pilões, Maria Rosa, and Abobral). Pedro Cubas was placed in an isolated branch. Principal component analysis was also performed and confirmed the trends observed in the neighbor-joining tree. Overall, the *quilombos* showed a higher degree of gene flow than average when compared to other worldwide populations, but similar to other African-derived populations. *Am. J. Hum. Biol.* 16:264–277, 2004. © 2004 Wiley-Liss, Inc.

Short interspersed elements (SINEs), composed mainly of sequences originated by retrotransposition, are a class of repetitive DNA found in the genome of mammals. The *Alu* family, exclusive to primates (Zietkiewicz et al., 1998), is the most frequent SINE found in the human genome. With ~1 million copies, *Alu* insertions are found on average once every 4 kb interval and therefore correspond to about 11% of the human genome (International Human Genome Sequencing Consortium, 2001).

*Alu* insertions are retrotransposable elements that are roughly 281 nucleotides in length. They are composed of two smaller monomeric units, united by a poly-A tract and with a poly-A tail in the 3' flank (Novick et al., 1996; Cooper, 1999). *Alu* insertions do not code for proteins and are believed to be derived from a retrotransposed copy of the 7SL RNA gene, in which a series of duplications and deletions has occurred (Cooper, 1999). The 7SL RNA is part of the signal recognition particle (SRP), a ribonucleoprotein whose

function is to target secreted or membrane-bound proteins to the endoplasmic reticulum.

Besides the presence of internal RNA polymerase III promoters, effective transcription of *Alu* insertions is thought to also be influenced by external promoters (Novick et al., 1996). Thus, it is widely believed that there are only a few *Alu* master genes capable of efficient transcription and therefore capable of retrotransposition (Batzer et al., 1990; Shen et al., 1991; Deininger et al., 1992).

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Recent models hypothesize that the effectiveness of the retrotransposition is influenced by the length of the poli-A tail (Roy-Engel et al., 2002). Whenever one of these master genes suffers a mutation, its subsequent copies will present the same mutation, thereby originating a new subfamily of *Alu* elements.

Some of these insertions have occurred so recently that they have not been fixed, and therefore represent new polymorphic loci that can be used in population studies (Batzer et al., 1996; Roy et al., 1999; Comas et al., 2001; Hollies et al., 2001). Because there are so many polymorphic *Alu* insertions, they constitute a set of highly informative markers for the study of human populations. Thus, *Alu* insertions can be used in a broad variety of applications: to study the origin and dispersal of modern humans (Batzer et al., 1996; Sherry et al., 1997; Watkins et al., 2001), to understand the colonization of the Americas (Novick et al., 1998), and to test hypotheses regarding the origins of populations and the genetic relationships among specific populations (Parra et al., 1998; Majumder et al., 1999; Comas et al., 2000; de Pancorbo et al., 2001; Bamshad et al., 2001; Jorde et al., 2001; Nazidse et al., 2001).

Most *Alu* insertion studies that have focused on Brazilian populations have dealt with Amerindian populations (Battilana et al., 2002; Mateus-Pereira et al., 2004; Oliveira, pers. commun.). Some of these studies have compared the results obtained using *Alu* insertions to those obtained with other markers, such as LINEs and classical protein polymorphisms (Mateus-Pereira et al., 2004; Oliveira, pers. commun.). Battilana et al. (2002) analyzed the affinities between four Amerindian populations. One of them, the Ache, of rather controversial origin, presented very distinct genetic characteristics from other Amerindian populations, thus far thought to be related to it. These studies have shown that Amerindians usually present higher indexes of genetic differentiation than other human populations.

Before the abolition of slavery in Brazil (1888), many communities (*quilombos*) were founded by fled or abandoned African slaves, presently referred to as quilombo remnants. Interestingly, they still remain at least partially genetically isolated. It is estimated that there are at least 700 such communities within Brazilian territory. They can be regarded as relics of the original African contribution to the Brazilian population. Some

reports have focused on the molecular variability of Brazilian African-derived populations (Bortolini et al., 1997, 1999; Guerreiro et al., 1999; Silva et al., 1999; Arpini-Sampaio et al., 1999; Oliveira et al., 2001; Mingroni-Netto et al., 2002; Ribeiro-dos-Santos et al., 2002), but none of them have studied *Alu* insertions.

We analyzed the allelic frequencies of four *Alu* polymorphic loci (APO, ACE, TPA25, and FXIIIIB) in six different African-derived populations (quilombo remnants) from the Ribeira River Valley (Vale do Ribeira) in the southern part of São Paulo State, Brazil (Fig. 1), comparing our results to a sample collected in the city of São Paulo. The aim of our study was to infer genetic relationships between quilombos and other populations (Africans, Amerindians, and Europeans). We also evaluated the degree of genetic isolation and gene flow experienced by these quilombo remnants in comparison to other populations.

## SUBJECTS AND METHODS

### *Populations studied*

The geographical location of the populations, total number of inhabitants, and number of individuals analyzed is summarized in Table 1. A map is presented in Figure 1. A sample of 41 unrelated individuals from the city of São Paulo was also analyzed. The sample is composed mainly of individuals of European ancestry and a few individuals from other ethnic groups. This study was approved by the ethics committee of the Instituto de Ciências Biomédicas da Universidade de São Paulo. Informed consent was obtained from all participants in the study.

### *PCR amplification of polymorphic loci*

We analyzed the frequencies of four *Alu* polymorphic insertions (APO, ACE, TPA25, and FXIIIIB). The primer sequences for amplification are described in Batzer et al. (1996).

Amplification of DNA samples for the APO, ACE, and TPA25 loci was carried out in 25  $\mu$ l reactions using 100–200 ng of target DNA, 45 pmol of each oligonucleotide, 200  $\mu$ M dNTPs, 1.5 mM MgCl<sub>2</sub>, 20 mM Tris-HCl, pH 8.4, 50 mM KCl, and 1.25 U Taq DNA polymerase. Each sample was subjected to the following amplification conditions: 1 min at 94°C (denaturation), annealing at 50°C (APO), and 58°C (ACE and TPA25) for 2 min, and 2 min at 72°C (extension) for 35 cycles.

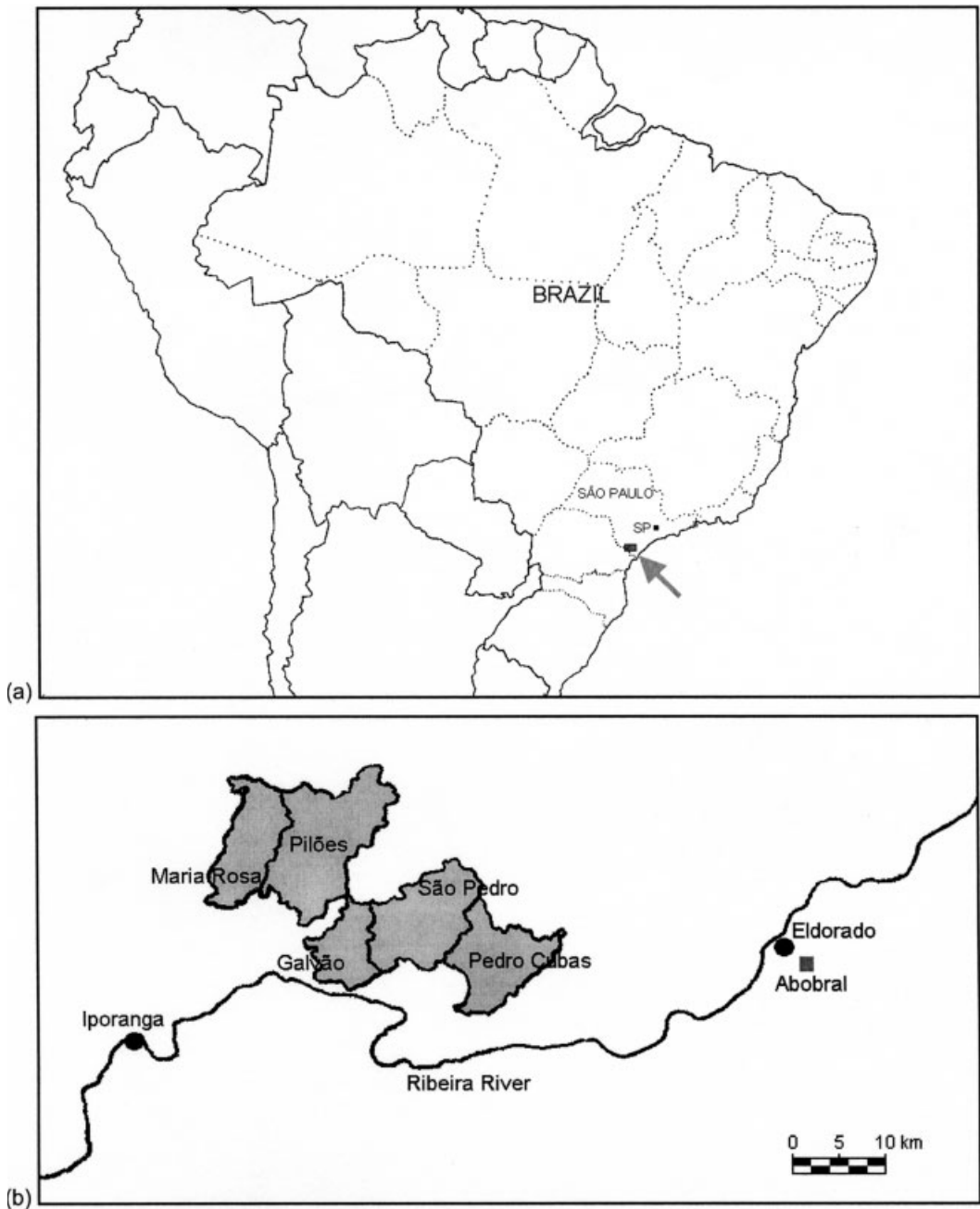


Fig. 1. Location of the populations studied. **a:** The city of São Paulo and, indicated by the arrow, the area of the Ribeira River Valley corresponding to **b.** **b:** The six quilombo remnants and the cities of Eldorado and Iporanga. The definitive area of Abobral has not yet been determined.

Amplification of DNA samples for the FXIIIIB locus was carried out in 25  $\mu$ l reactions using 100–200 ng of target DNA, 45 pmol of

each oligonucleotide, 400  $\mu$ M dNTPs, 2.6 mM  $MgCl_2$ , 20 mM Tris-HCl, pH 8.4, 50 mM KCl, and 2 U Taq DNA polymerase. Each sample

TABLE 1. Quilombos location, total inhabitants and numbers of individuals analyzed

| Population           | Abobral            | Galvão             | São Pedro          | Pedro Cubas        | Pilões             | Maria Rosa         |
|----------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Location             | 24°28'S<br>48°04'O | 24°32'S<br>48°26'O | 24°31'S<br>48°24'O | 24°34'S<br>48°16'O | 24°29'S<br>48°29'O | 24°28'S<br>48°30'O |
| Total inhabitants    | 397                | 134                | 132                | 286                | 128                | 56                 |
| Individuals analyzed | 123<br>(31%)       | 50<br>(38%)        | 51<br>(38%)        | 117<br>(41%)       | 39<br>(31%)        | 22<br>(39%)        |

was subjected to the following amplification conditions: 1 min at 94°C (denaturation), annealing at 55°C for 2 min, and 4 min at 72°C (extension) for 40 cycles, followed by a final extension of 6 min at 72°C.

PCR products were analyzed by electrophoresis through a 2% agarose gel stained with ethidium bromide and the reaction products were directly visualized using UV fluorescence. The PCR process for *Alu* insertions is known to preferentially amplify the smaller allele (i.e., the lack of insertion). Therefore, for every individual identified as homozygous for the smaller allele, a second amplification was performed to confirm the results.

Data analysis

Due to the small size of the populations, our samples necessarily contained related individuals. A contingency table analysis was performed to ascertain whether the quilombo samples presented any significant difference when the related individuals (with a relationship coefficient equal or larger than one-fourth) were taken into account. Since we did not observe significant differences in the genotypic frequencies between the two groups, we decided to use the total samples in the final analysis in order to have larger sample sizes.

The allelic frequencies, heterozygosities, and fixation indexes were calculated according to the equations in Nei (1987), and  $G_{st}$  and  $F_{st}$  values were calculated according to the equations of Weir and Cockerham (1984) and Nei (1987) by means of computer programs that we prepared. The neighbor-joining tree was built using the DISPAN package (Ota, 1983).

Principal component analysis (PCA) was performed using the allelic frequencies observed for the four *Alu* insertions using SPSS 10.1 (Chicago, IL).

Both the neighbor-joining tree and the PCA analyses included previously reported worldwide samples: Karitiana, Surui, Wayuu, Arhuaco, Chimila, Ingano, Guambiano, Guayabero, Kogui, Paez, Inca, Ngobe, Waunana,

Quechua, Toba, Navajo, Moskoke, Zuni, Sioux, Cree-Ojibwa, Maya-Campeche, Maya-Buctotz, Alaska-Aleut, Greeks, Turks, Nigerians, Pygmies from Zaire and Central African Republic (Zaire+RCA Pygmies), and European-Americans (Novick et al., 1998); Cainang, Guarani, Xavante and Ache (Battilana et al., 2002); Maya, Alaska natives, Greenland natives, Chinese, Taiwan Chinese, Javanese, Philippine, Indonese-Mollucas, Indonese-Nusa Tenggara, Malayan, Australians (mixed), Papua New Guinea coastal natives (PNG coast), Papua New Guinea interior natives (PNG interior), Nguni, Sotho, !Kung, Pygmies from Central African Republic (RCA Pygmies), and Pygmies from Zaire (Stoneking et al., 1997); African-Americans, British Afro-Caribbeans, Greenland natives, Bretons, French, French Acadians, and Swiss (Batzer et al., 1996); some Taiwanese native samples: Ami, Atayal, Bunun, and Paiwan (Melton et al., 1998); Comunidad Autonoma Vasca (Bascs-CAV), Goierri, Arratians, Arabans, and Bascs from Bilbao (de Pancorbo et al., 2001).

To assess the relative amount of gene flow experienced by each population, the expected Hardy-Weinberg proportions of heterozygotes of each population were plotted against the distance from the centroid, as described by Harpending and Ward (1982), where the distance from the centroid  $r_i$  for a population  $i$  is given by the formula:

$$r_i = (p_i - P)^2 / [P(1 - P)]$$

where  $p_i$  and  $P$  are the frequency of the *Alu* insertion in population  $i$  and in the set of all populations, respectively. According to Harpending and Ward (1982), under an island model of population structure there exists a linear relationship between heterozygosity and the distance from the centroid:

$$h_i = H(1 - r_i)$$

where  $h_i$  and  $H$  are the heterozygosities of population  $i$  and all populations agglutinated,

respectively. Populations that have experienced more gene flow than average will fall above the theoretical prediction given by the regression line, whereas populations with less gene flow than average will fall below.

Genetic admixture estimates were obtained using ADMIX (Long, 1991). This analysis included as parental samples the Bantu Sotho (Stoneking et al., 1997), a Guarani population (Battilana et al., 2002), and a sample of French individuals (Batzer et al., 1996) or the sample of the city of São Paulo. The Bantu Sotho were selected as representatives of African populations because most Brazilian slaves probably belonged to this ethnic group (Zago et al., 1992; Gonçalves et al., 1994; Figueiredo et al., 1994; Wagner et al., 1996; Pante-de-Sousa et al., 1998). The Guarani population was selected because this Amerindian group is supposed to have been present in the Ribeira River Valley region at the time the quilombos were founded. The French were selected to represent a Western European population because published data on Portuguese populations analyzed for the four loci studied here are not available. The São Paulo sample was alternatively used as representative of European ancestry, since it is composed predominantly by individuals of European ancestry.

## RESULTS

### *Genetic variation within populations*

Allelic frequencies, fixation indexes ( $F$ ), and the observed and expected heterozygosities for the four *Alu* insertions analyzed in all the populations are summarized in Table 2. The four *Alu* insertions were polymorphic in all populations. Twenty-eight Hardy-Weinberg equilibrium tests were performed and only two significant departures from Hardy-Weinberg equilibrium were found (Pedro Cubas for APO and São Pedro for ACE). This is expected since  $\sim 2$  of the 28 tests should be significant at the 5% level based on chance events alone.

The observed heterozygosities were overall higher in the quilombo remnants than in the São Paulo sample. The observed heterozygosities averaged across the four loci were also high, ranging from 0.399 in São Pedro to 0.500 in Maria Rosa.

### *Gene flow and genetic differentiation among populations*

$F_{st}$  and  $G_{st}$  values are summarized in Table 3. The  $F_{st}$  values ranged from 0.110–

0.044, respectively, for the APO and FXIIIIB loci, with an average value of 0.073. The  $G_{st}$  values ranged from 0.110–0.043, respectively, for the APO and ACE loci, with an average of 0.067.

A neighbor-joining tree that displays all the populations analyzed and the other worldwide populations is presented in Figure 2. Although only four polymorphic loci were employed in the analysis, Figure 2 shows clearly four main clusters: cluster A groups the Asian and Amerindian populations; cluster B groups the populations from Oceania and two Native American populations; cluster C contains the African and African-derived populations, including São Pedro and Galvão; cluster D is divided in European and European-derived populations, including São Paulo, and in another small group comprised of Pilões, Maria Rosa, and Abobral. Pedro Cubas and the Nigerians fell between cluster A and clusters B, C, and D, with Pedro Cubas closer to clusters B, C, and D than to the Nigerians.

Batzer et al. (1996) argued that since the direction of mutation for *Alu* is the insertion rather than the deletion of each *Alu* element, the root of the tree could be derived by the inclusion of a hypothetical ancestor which did not contain any of the polymorphic *Alu* insertions (i.e., the allele frequencies for each locus were set to zero). When another tree was built using this ancestral population, the ancestor was placed between the two main clusters: that of the Asian and Amerindian populations and that of the other populations (data not shown). The topology of the remaining populations was the same as the observed in the tree of Figure 2.

The main characteristics of the principal components generated after PCA can be observed in Table 4. The first two principal components scores explained 81% of the total variance. The principal component scores generated for each population are presented in Table 5. These scores were used to generate the two-dimensional graph of Figure 3. The Asian and Amerindian populations clustered together, as expected, with the exception of the Incas, which clustered with the populations from Oceania. The Europeans clustered tightly together, with the São Paulo sample among them. The Africans also formed a separate cluster, along with São Pedro and Galvão. Abobral and Pedro Cubas were placed halfway between the Africans and the Asian/Amerindian populations, and Pilões and

TABLE 2. Distribution of polymorphic Alu insertions

| Population        | APO |                  |              |                         | ACE                     |     |                  |              |                         |                         |
|-------------------|-----|------------------|--------------|-------------------------|-------------------------|-----|------------------|--------------|-------------------------|-------------------------|
|                   | n   | Frequency of Alu | F            | Expected heterozygosity | Observed heterozygosity | n   | Frequency of Alu | F            | Expected heterozygosity | Observed heterozygosity |
| São Paulo         | 41  | 0.902            | -0.108       | 0.176 ± 0.052           | 0.195 ± 0.062           | 41  | 0.305            | 0.022        | 0.424 ± 0.040           | 0.415 ± 0.077           |
| Abobral           | 74  | 0.750            | 0.171        | 0.375 ± 0.036           | 0.311 ± 0.054           | 75  | 0.447            | -0.052       | 0.494 ± 0.010           | 0.520 ± 0.058           |
| Pedro Cubas       | 78  | 0.423            | <b>0.265</b> | 0.488 ± 0.013           | 0.359 ± 0.054           | 78  | 0.641            | 0.109        | 0.460 ± 0.022           | 0.410 ± 0.056           |
| Galvão            | 50  | 0.590            | 0.049        | 0.484 ± 0.019           | 0.460 ± 0.070           | 50  | 0.360            | -0.215       | 0.461 ± 0.027           | 0.560 ± 0.070           |
| São Pedro         | 51  | 0.392            | 0.177        | 0.477 ± 0.022           | 0.392 ± 0.068           | 51  | 0.382            | <b>0.294</b> | 0.472 ± 0.023           | 0.383 ± 0.066           |
| Piões             | 37  | 0.770            | 0.007        | 0.354 ± 0.053           | 0.351 ± 0.078           | 37  | 0.392            | 0.036        | 0.477 ± 0.026           | 0.459 ± 0.082           |
| Maria Rosa        | 22  | 0.705            | 0.017        | 0.416 ± 0.057           | 0.409 ± 0.105           | 22  | 0.432            | -0.204       | 0.491 ± 0.025           | 0.591 ± 0.105           |
| Quilombos average | 312 |                  |              | 0.486 ± 0.007           | 0.372 ± 0.027           | 313 |                  |              | 0.497 ± 0.003           | 0.466 ± 0.028           |

| Population        | TPA25 |                  |        |                         | FXIIB                   |     |                  |        | Total                   |                         |
|-------------------|-------|------------------|--------|-------------------------|-------------------------|-----|------------------|--------|-------------------------|-------------------------|
|                   | n     | Frequency of Alu | F      | Expected heterozygosity | Observed heterozygosity | n   | Frequency of Alu | F      | Expected heterozygosity | Observed heterozygosity |
| São Paulo         | 41    | 0.524            | -0.027 | 0.499 ± 0.010           | 0.512 ± 0.078           | 41  | 0.476            | 0.169  | 0.499 ± 0.010           | 0.415 ± 0.077           |
| Abobral           | 75    | 0.307            | 0.059  | 0.425 ± 0.029           | 0.400 ± 0.057           | 75  | 0.527            | 0.224  | 0.499 ± 0.006           | 0.387 ± 0.056           |
| Pedro Cubas       | 78    | 0.641            | 0.109  | 0.460 ± 0.022           | 0.410 ± 0.056           | 75  | 0.493            | 0.147  | 0.500 ± 0.005           | 0.427 ± 0.057           |
| Galvão            | 50    | 0.290            | 0.077  | 0.412 ± 0.038           | 0.380 ± 0.069           | 50  | 0.260            | 0.168  | 0.385 ± 0.042           | 0.320 ± 0.066           |
| Sao Pedro         | 43    | 0.326            | 0.047  | 0.439 ± 0.036           | 0.419 ± 0.075           | 51  | 0.304            | -0.066 | 0.423 ± 0.036           | 0.451 ± 0.070           |
| Piões             | 37    | 0.432            | -0.211 | 0.491 ± 0.018           | 0.595 ± 0.081           | 38  | 0.474            | -0.056 | 0.499 ± 0.011           | 0.526 ± 0.081           |
| Maria Rosa        | 22    | 0.523            | -0.002 | 0.499 ± 0.017           | 0.500 ± 0.107           | 22  | 0.477            | -0.002 | 0.499 ± 0.017           | 0.500 ± 0.107           |
| Quilombos average | 305   |                  |        | 0.488 ± 0.006           | 0.433 ± 0.028           | 311 |                  |        | 0.490 ± 0.006           | 0.421 ± 0.028           |

Significant F values are shown in bold.

TABLE 3. Differentiation indexes estimated in the quilombo remnants

|        | Weir and Cockerham (1984) |                               |                | Nei (1987)            |                        |            |
|--------|---------------------------|-------------------------------|----------------|-----------------------|------------------------|------------|
|        | f[Fis] (Intra-population) | theta[Fst] (Inter-population) | F[Fit] (Total) | Hs (Intra-population) | Gst (Inter-population) | Ht (Total) |
| APO    | 0.160                     | 0.110                         | 0.252          | 0.432                 | 0.110                  | 0.486      |
| ACE    | 0.027                     | 0.046                         | 0.072          | 0.476                 | 0.043                  | 0.497      |
| TPA25  | 0.042                     | 0.092                         | 0.131          | 0.454                 | 0.069                  | 0.488      |
| FXIIIB | 0.110                     | 0.044                         | 0.149          | 0.468                 | 0.046                  | 0.490      |
| Total  | 0.084                     | 0.073                         | 0.151          | 0.457                 | 0.067                  | 0.490      |

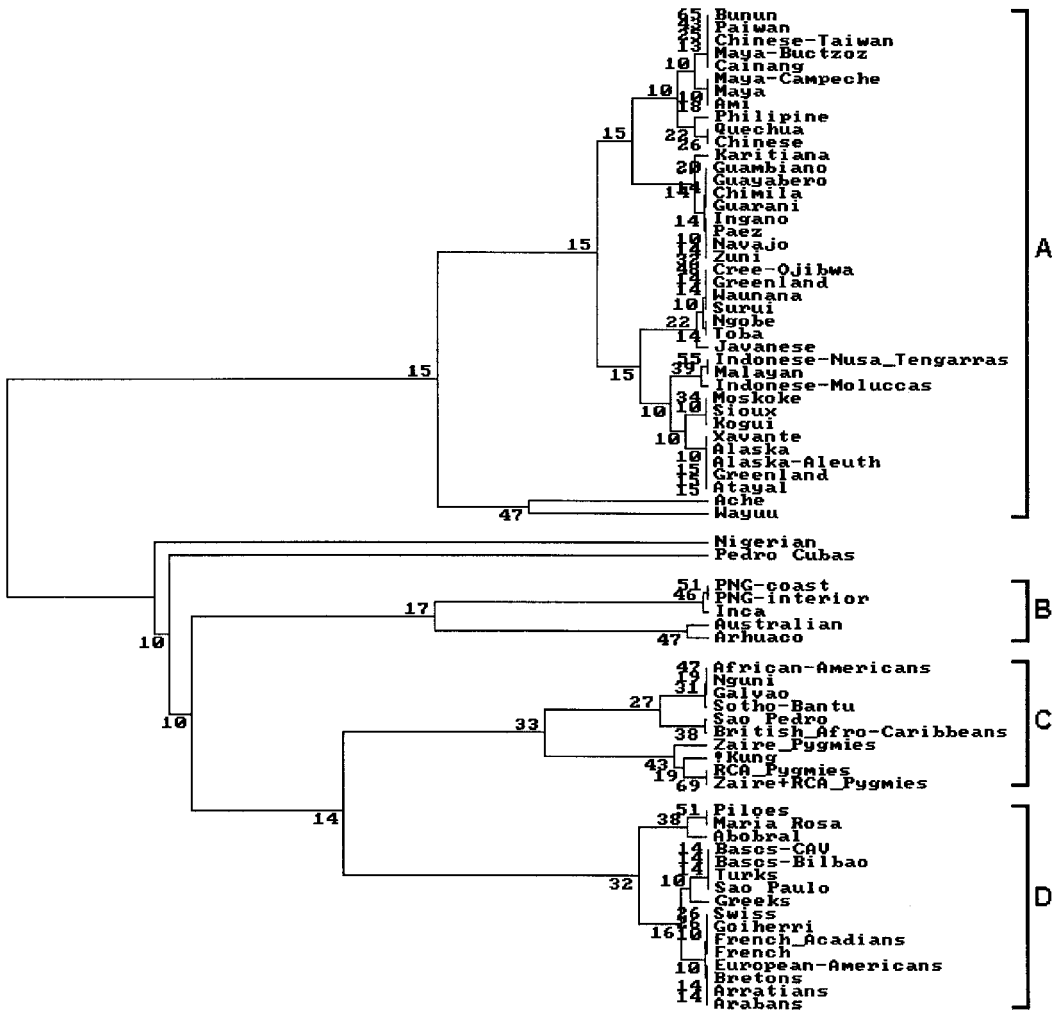


Fig. 2. Neighbor-joining tree of population relationships. This tree was derived directly from the allele frequencies of four polymorphic *Alu* repeats (APO, ACE, TPA25, and FXIIIB) of the populations presented in Table 2 and others previously reported using DISPAN, based on 1,000 replications. The genetic distance between populations is proportional to the length of the branches. The numbers on the nodes indicate the percentage of the bootstrap replicates that support those branches.

TABLE 4. Main characteristics of the principal components based on the allele frequencies of four polymorphic Alu repeats (APO, ACE, TPA25, and FXIIIIB)

| Component | Eigenvalue | Proportion explained (%) | Cumulative proportion explained (%) |
|-----------|------------|--------------------------|-------------------------------------|
| 1         | 2.337      | 58.437                   | 58.437                              |
| 2         | 0.914      | 22.861                   | 81.298                              |
| 3         | 0.496      | 12.391                   | 93.689                              |
| 4         | 0.252      | 6.311                    | 100.000                             |

Maria Rosa were placed closer to the Europeans than to the Africans.

We determined the amount of gene flow experienced by each population by plotting the expected heterozygosities of each population against the distance of that population from the centroid (Fig. 4). We also added to our sample 14 other world populations (Batzer et al., 1996), the Bantu Sotho popula-

tion (Stoneking et al., 1997), and four Amerindian populations (Battilana et al., 2002).

When compared to other world populations (Fig. 4a), Pedro Cubas, Galvão and São Pedro, the two African-derived populations (African-American and Afro-Caribbean), and two African populations (Nigerians and Sotho) fell well above the theoretical line. When only African and African-derived populations were compared (Fig. 4b), the only population that fell well above the line was Pedro Cubas.

In the first attempt to estimate genetic admixture under a three-hybrid model (Africans, Europeans, and Amerindians) with the French as the European parental population, negative scores and scores greater than 100% were obtained in three out of the four loci analyzed. In a second estimate, using São Paulo as European parental population instead of the French, estimates were obtained in two of the four loci: ACE and FXIIIIB. The estimates of the genetic contribution from the three groups,

TABLE 5. Population mean scores for the first two principal components based on the allele frequencies of four polymorphic Alu repeats (APO, ACE, TPA25, and FXIIIIB)

| Population              | ID | PC1      | PC2      |
|-------------------------|----|----------|----------|
| São Paulo               | 1  | -0.54083 | 1.34488  |
| Abobral                 | 2  | -0.9103  | -0.29144 |
| Pedro Cubas             | 3  | -0.87331 | -0.19134 |
| Galvão                  | 4  | -1.76423 | -0.17517 |
| São Pedro               | 5  | -2.04588 | -0.52134 |
| Pilões                  | 6  | -0.83342 | 0.45703  |
| Maria Rosa              | 7  | -0.77706 | 0.55673  |
| Guarani                 | 8  | 1.21369  | 0.12426  |
| Sotho-Bantu             | 9  | -1.58745 | 0.15655  |
| African-Americans       | 10 | -1.6493  | -0.53835 |
| French                  | 11 | -0.12162 | 1.14179  |
| Karitiana               | 12 | 1.63903  | -0.33031 |
| Surui                   | 13 | 0.87178  | -1.39089 |
| Cainang                 | 14 | 0.6964   | 0.98153  |
| Xavante                 | 15 | 0.77496  | -0.50594 |
| European-Americans      | 16 | -0.10556 | 0.58758  |
| British_Afro-Caribbeans | 17 | -1.64707 | -0.90938 |
| Indonese-Moluccas       | 18 | 0.15736  | -0.18601 |
| Indonese-Nusa_Tengarras | 19 | -0.07077 | -0.78449 |
| Javanese                | 20 | 0.42035  | -1.52773 |
| Philippine              | 21 | 0.44505  | 1.0014   |
| Malayan                 | 22 | -0.04278 | -0.2867  |
| Australian              | 23 | -0.05374 | -2.3154  |
| PNG-coast               | 24 | -1.30215 | -1.5295  |
| PNG-interior            | 25 | -1.13683 | -1.74134 |
| Greeks                  | 26 | -0.11571 | 1.0764   |
| Turks                   | 27 | -0.35282 | 1.68372  |
| Bretons                 | 28 | -0.33994 | 0.97997  |
| French_Acadians         | 29 | -0.32118 | 0.37183  |
| Swiss                   | 30 | -0.45847 | 0.92778  |
| Bass-CAV                | 31 | -0.50555 | 1.85081  |
| Nguni                   | 32 | -1.98692 | -0.48302 |
| !Kung                   | 33 | -1.54136 | 0.20386  |

|                 |    |          |          |
|-----------------|----|----------|----------|
| Nigerian        | 34 | -1.43338 | -0.80625 |
| RCA_Pygms       | 35 | -2.2757  | 0.756    |
| Zaire+RCA_Pygms | 36 | -1.96079 | 0.58202  |
| Zaire_Pygms     | 37 | -1.32642 | 1.19999  |
| Ache            | 38 | 1.62477  | 0.43527  |
| Wayuu           | 39 | 1.06817  | 2.15489  |
| Arhuaco         | 40 | 0.43875  | -2.12443 |
| Chimila         | 41 | 1.19358  | 0.14364  |
| Ingano          | 42 | 1.07624  | -0.4539  |
| Guambiano       | 43 | 1.30956  | 0.11304  |
| Guayabero       | 44 | 1.41413  | 0.07171  |
| Kogui           | 45 | 0.71466  | -0.32551 |
| Paez            | 46 | 1.0893   | -0.39118 |
| Inca            | 47 | -1.01976 | -1.9013  |
| Ngobe           | 48 | 0.59169  | -1.25701 |
| Waunana         | 49 | 0.91562  | -1.018   |
| Quechua         | 50 | 0.85257  | 0.53395  |
| Toba            | 51 | 0.70805  | -1.469   |
| Navajo          | 52 | 1.32658  | -0.51806 |
| Moskoke         | 53 | 0.54316  | -0.17957 |
| Zuni            | 54 | 1.24159  | 0.14383  |
| Sioux           | 55 | 0.49626  | 0.04901  |
| Cree-Ojibwa     | 56 | 0.63906  | -1.70365 |
| Maya-Campeche   | 57 | 0.75265  | -0.01473 |
| Maya-Buctzoz    | 58 | 0.35199  | 0.43547  |
| Maya            | 59 | 0.85271  | 0.38462  |
| Alaska          | 60 | 0.15985  | -0.75046 |
| Alaska-Aleuth   | 61 | 0.09005  | -0.72133 |
| Greenland       | 62 | 0.03455  | -0.35242 |
| Greenland       | 63 | 0.64628  | -1.65039 |
| Chinese         | 64 | 0.41537  | 0.57519  |
| Chinese-Taiwan  | 65 | 0.63924  | 0.83686  |
| Ami             | 66 | 0.95144  | 1.05668  |
| Atayal          | 67 | 0.47044  | -0.22114 |
| Bunun           | 68 | 0.48163  | 1.02858  |
| Paiwan          | 69 | 0.63859  | 1.01158  |
| Goiherri        | 70 | -0.32686 | 1.0127   |
| Arratians       | 71 | -0.09409 | 1.02835  |
| Arabans         | 72 | -0.04689 | 1.1277   |
| Bascs-Bilbao    | 73 | -0.379   | 1.43948  |



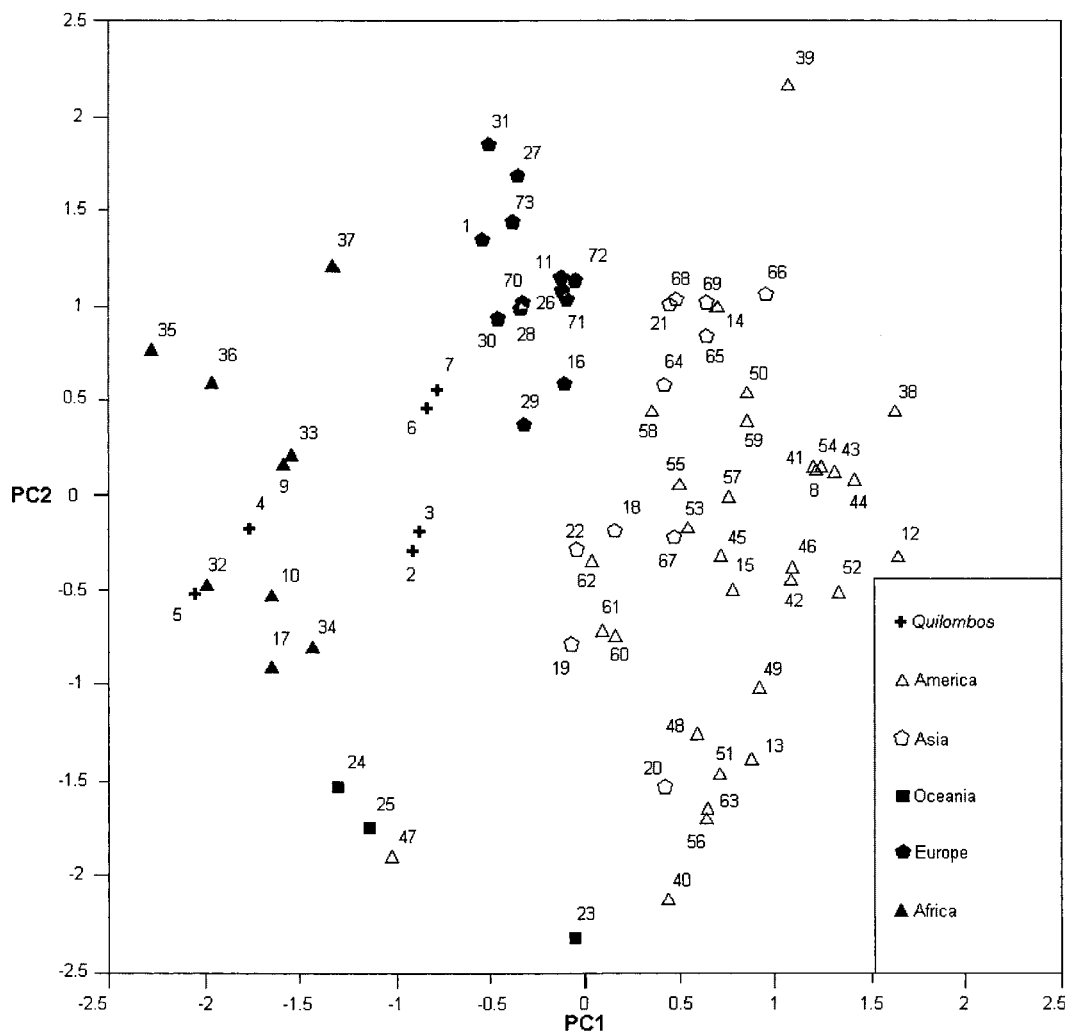


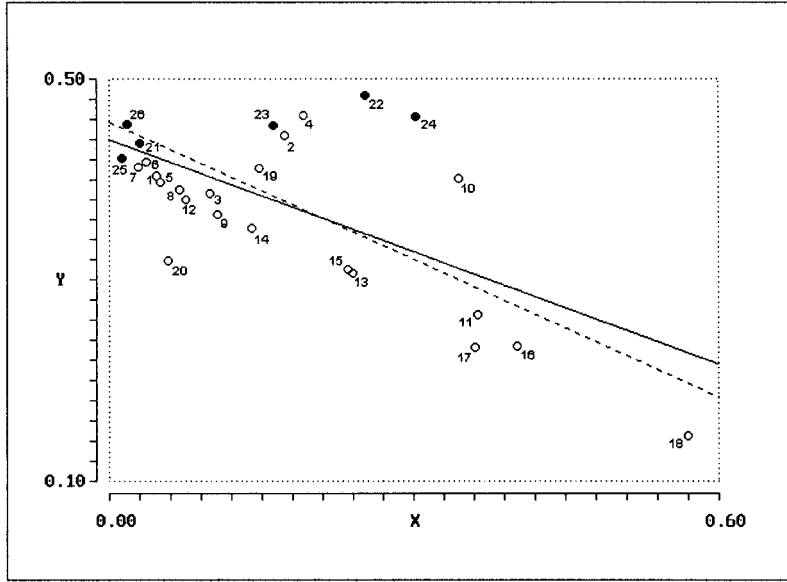
Fig. 3. Worldwide population affinities using the allele frequencies of four polymorphic *Alu* repeats (APO, ACE, TPA25, and FXIIB): first two principal component scores. The ID numbers are the same as those in Table 5. São Paulo was considered a European-derived population.

based on the ACE and FXIIB loci only, are presented in Table 6. We did not obtain results in any of the loci for Pedro Cubas.

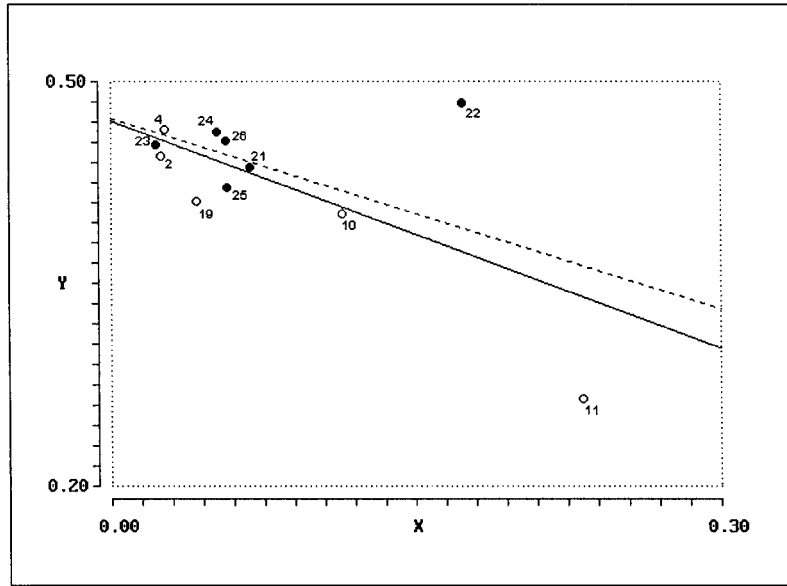
#### DISCUSSION

The geographical isolation and the small size of the populations (between 50 and 350 individuals) would lead us to expect some degree of inbreeding or substructuring in the quilombo remnants. Nevertheless, we did not observe significant departures from the Hardy-Weinberg equilibrium in any of

the populations, which probably is a consequence of the small sample sizes. On the other hand, it is possible that these populations have undergone a significant degree of gene flow. Indeed, it is not unusual for an individual born in one of these populations to have one of his parents born in a different quilombo remnant within the area. This was confirmed after the pedigrees of the populations were completed. This semi-isolation characteristic of quilombo remnants has been observed by Silva et al. (1999) in a study based on VNTRs and STRs allelic



(a)



(b)

● Quilombo remnants ——— Theoretical  
 ○ ——— Best Fit

Fig. 4. Distance from the centroid (X) vs. expected heterozygosities (Y). **a:** Worldwide populations. **b:** African and African-derived populations. (1) European-Americans; (2) African-Americans; (3) Hispanics; (4) Afro-Caribbeans; (5) Swiss; (6) Bretons; (7) French Acadians; (8) Greek Cypriots; (9) Turkish Cypriots; (10) Nigerians; (11) Pygmies; (12) French; (13) Alaska Natives; (14) Greenland Natives; (15) Cainang; (16) Guarani; (17) Xavante; (18) Ache; (19) Sotho-Bantu; (20) São Paulo; (21) Abobral; (22) Pedro Cubas; (23) Galvão; (24) São Pedro; (25) Pilões; (26) Maria Rosa. References: populations 1–14: Batzer et al. (1996); populations 15–18: Battilana et al. (2002); population 19: Stoneking et al. (1997); populations 20–26: present study.

TABLE 6. Genetic admixture estimates, based on the allele frequencies of two polymorphic *Alu* repeats (*ACE* and *FXIIIIB*)

| Loci        | Parental populations | Quilombos |        |           |        |            |
|-------------|----------------------|-----------|--------|-----------|--------|------------|
|             |                      | Abobral   | Galvão | São Pedro | Pilões | Maria Rosa |
| ACE/FXIIIIB | Sotho                | 0.15      | 0.74   | 0.67      | 0.15   | 0.26       |
| ACE/FXIIIIB | São Paulo            | 0.63      | 0.26   | 0.28      | 0.74   | 0.55       |
| ACE/FXIIIIB | Guarani              | 0.23      | 0.01   | 0.06      | 0.12   | 0.19       |

frequencies. These authors suggest that the diversity eventually lost due to isolation may have been compensated by the admixture of different ethnic groups (Africans, Europeans, and Amerindians) by the time of the foundation of the quilombos. In our study, the expected heterozygosities were overall higher in quilombo remnants than in São Paulo. This can be explained not only by admixture of the three main ethnic groups, but also among the African groups, since individuals from many different African ethnic groups were shipped together as slaves. Another factor that may account for the results reported here is that African populations usually present higher genetic diversity indexes when compared to other world populations, as reported by many studies that used mitochondrial DNA, Y chromosome, and autosomal microsatellites. This larger genetic diversity has been explained as a consequence of the probable African origin of modern humans, of the larger effective population size of the Africans or of the likely earlier populational expansion that took place in Africa (Bowcock et al., 1994; Jorde et al., 1995, 1997; Shriver et al., 1997; Jorde et al., 2000; Ingman et al., 2000).

The differentiation indexes estimated for the quilombo remnants were similar to those observed for other African populations analyzed. The overall  $F_{st}$  in our quilombos was 0.073, higher than the 0.042 value estimated by Watkins et al. (2002). Stoneking et al. (1997) observed  $G_{st}$  values of 0.088 in Africans, similar to the 0.067 value estimated in our quilombo remnants. These were higher than those observed for other world populations, with the exception of Amerindians (Stoneking et al., 1997; Watkins et al., 2001, 2002). Within Amerindians,  $G_{st}$  values obtained with *Alu* insertions range from 0.102–0.452 (Mateus-Pereira et al., 2004; Oliveira, pers. commun.). Despite the higher  $F_{st}$  and  $G_{st}$  values, the total variability is clearly within populations rather than among them, a fact that

has been observed for almost all human population groups. As a whole, our results suggest that the quilombo remnants have indeed experienced some isolation, capable of generating some degree of differentiation, but their isolation was certainly not so intense as the one experienced by Amerindians. Nevertheless, genetic drift, and founder effect in particular, could explain the larger degree of differentiation observed among these populations and other general groups.

The relationships among these populations are suggested by the topology of the neighbor-joining tree of Figure 2.

Galvão and São Pedro are close to each other and also to the African populations. Galvão and São Pedro are geographically close (3 km). According to their oral lore, Galvão and São Pedro have a common origin. A slave who arrived in the area around 1850 took at least two different wives, having with them at least 24 children. Some of his children probably founded some other populations in the area.

Pilões and Maria Rosa are also geographically close to each other (6 km) and exhibit many links in pedigrees and clustered together as expected in Figure 3.

Pilões, Maria Rosa, and Abobral were genetically closer to the European samples, a finding that might indicate a higher European contribution to these populations. Summing up, some quilombo populations are closer to the African and African-derived populations than to the European or Amerindian populations (São Pedro and Galvão). European contribution, however, is evidenced for Pilões, Maria Rosa, and Abobral, as they were placed closer to the Europeans.

The plotting of the principal component scores revealed approximately the same main clusters of Figure 2. The most interesting findings were the plotting of Pedro Cubas and Abobral between the Africans and the Asian/Amerindian populations and the plotting of Pilões and Maria Rosa

between the Africans and the Europeans, confirming the trend observed in Figure 2.

The admixed nature of quilombo populations is also apparent from the plot of heterozygosity versus distance from the centroid (Fig. 4). Quilombo populations also presented heterozygosity levels higher than the average value, which indicates a larger degree of gene flow experienced by these groups, similar to other admixed African-derived populations (African-Americans and Afro-Caribbeans). Pedro Cubas showed the highest degree of gene flow when compared to other African and African-derived populations (Fig. 4b). Because in Figure 2 Pedro Cubas is in a different cluster than all the other quilombos, we hypothesize that Pedro Cubas may have received a larger Amerindian contribution than other quilombo remnants. This is confirmed by the positioning of Pedro Cubas between the Africans and the Asian and Amerindian populations in Figure 3. In fact, Macedo-Souza (pers. commun.) found in Pedro Cubas some Y chromosome haplotypes that contained the T allele in the DYS199 loci, characteristic of Amerindians. This allele was not detected in the other quilombos.

Admixture estimates gave inconsistent results in two out of the four loci studied. Even when estimates were made for the ACE and FXIIB loci only, it was not possible to obtain results for Pedro Cubas. The partial results obtained for the other quilombo populations are in accordance with the results from PCA: higher levels of African contribution in São Pedro and Galvão, and higher levels of European contribution for Pilões, Maria Rosa, and Abobral. However, the inconsistent results obtained in these analyses indicate that a simple model of admixture is not enough to explain the allelic frequencies observed. Admixture estimates do not account for drastic population size changes or genetic drift. All of the quilombos are small populations. Some of them experienced founder effect (São Pedro and Galvão), while others have experienced severe bottlenecks. In 1998, Pilões had 250 and Maria Rosa 140 inhabitants, whereas in 2001 they had only 128 and 56 inhabitants. These facts may partially explain the difficulties in estimating genetic admixture in these populations.

## CONCLUSIONS

The allelic frequencies estimated for the four *Alu* insertions in this study are similar

to those observed for other African and African-derived populations. However, some populations exhibited important European genetic contribution. Their heterozygosities were high, a trend also observed in other African and African-derived populations. In conclusion, the quilombo remnants of the Ribeira River Valley behave, as expected, as semi-isolated populations.  $F_{st}$  and  $G_{st}$  values estimated in our quilombo remnants were high, similar to some African populations, but lower than those observed among Amerindians. A larger degree of differentiation was observed within the quilombo populations than among them. In the neighboring tree some clustered with the African and African-derived populations (São Pedro and Galvão). Others presented an important degree of European contribution (Pilões, Abobral, and Maria Rosa). Pedro Cubas probably also received Amerindian admixture. Similar trends were also observed when the two first principal components scores were plotted against each other. Overall, the quilombos presented a higher degree of gene flow than average when compared to worldwide populations, but similar degree when compared to other African-derived populations.

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